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12/12/00

09/735720

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	
First Inventor	Blum, Ariana L.
Title	A Flexible Shape-Retaining Lighting Device
Express Mail Label No.	

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington DC 20231

- ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 10]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages 2]
  - ☒ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement [ ] Power of Attorney  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Other: .....

17. If a CONTINUING APPLICATION check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
Prior application information. Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or <input checked="" type="checkbox"/> Correspondence address below	
Name	Blum, Ariana L.		
Address	255 Warren Street #910		
City	Jersey City	State	NJ
Country	USA	Telephone	(201) 3335626
		Zip Code	07302
		Fax	

Name (Print/Type)	Blum, Ariana L.	Registration No. (Attorney/Agent)	
Signature	Ariana Blum	Date	12/4/2000

12/12/00

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Blum, Ariana L.

Examiner Name

Group Art Unit

Attorney Docket No.

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
NumberDeposit  
Account  
Name

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☐ Check ☒ Credit card ☐ Money  
Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

355

**2. EXTRA CLAIM FEES**

Total Claims **19** -20\*\* = **0** x **9** = **0**  
 Independent Claims **2** -3\*\* = **0** x **40** = **0**  
 Multiple Dependent  =

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$)

0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee

Code Code Code Code

(\$) (\$)

Fee Description

Fee Paid

105 130 205 65 Surcharge - late filing fee or oath

0

127 50 227 25 Surcharge - late provisional filing fee or cover sheet

0

139 130 139 130 Non-English specification

0

147 2,520 147 2,520 For filing a request for ex parte reexamination

0

112 920\* 112 920\* Requesting publication of SIR prior to Examiner action

0

113 1,840\* 113 1,840\* Requesting publication of SIR after Examiner action

0

115 110 215 55 Extension for reply within first month

0

116 390 216 195 Extension for reply within second month

0

117 890 217 445 Extension for reply within third month

0

118 1,390 218 695 Extension for reply within fourth month

0

128 1,890 228 945 Extension for reply within fifth month

0

119 310 219 155 Notice of Appeal

0

120 310 220 155 Filing a brief in support of an appeal

0

121 270 221 135 Request for oral hearing

0

138 1,510 138 1,510 Petition to institute a public use proceeding

0

140 110 240 55 Petition to revive - unavoidable

0

141 1,240 241 620 Petition to revive - unintentional

0

142 1,240 242 620 Utility issue fee (or reissue)

0

143 440 243 220 Design issue fee

0

144 600 244 300 Plant issue fee

0

122 130 122 130 Petitions to the Commissioner

0

123 50 123 50 Petitions related to provisional applications

0

126 240 126 240 Submission of Information Disclosure Stmt

0

581 40 581 40 Recording each patent assignment per property (times number of properties)

0

146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))

0

149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))

0

179 710 279 355 Request for Continued Examination (RCE)

0

169 900 169 900 Request for expedited examination of a design application

0

Other fee (specify) \_\_\_\_\_

0

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

**SUBMITTED BY**

Name (Print/Type)

Blum, Ariana L.

Registration No.

(Attorney/Agent)

Complete (if applicable)

Telephone

(201) 3335626

Signature

Ariana Blum

Date

12/4/2000

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231